

Calibration des leviers AFM

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AFM: measurement of cantilever's deflexion Δz

stiffness k

$$F = k\Delta z$$

interaction force F between probe and sample

**AFM force measurements requires
calibration of cantilever's stiffness**

Introduction

1. Theoretical stiffness from Euler Bernoulli model

- theoretical stiffness k_0 of the cantilever
- from k_0 to k : corrections due to position of the probe

2. Calibration using static deformations

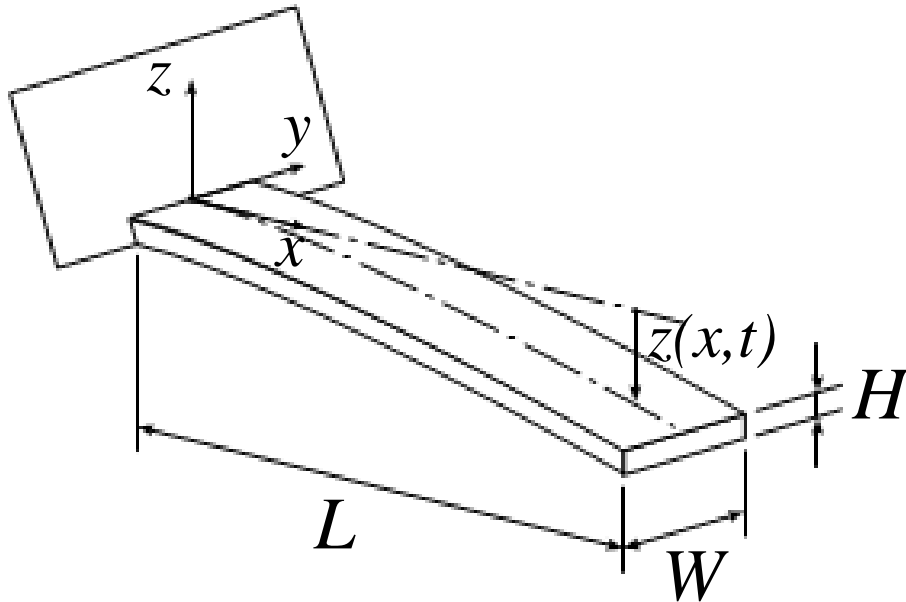
- cantilever against reference cantilever method

3. Calibrations based on modes of oscillation

- simple harmonic oscillator method
- Cleveland's added mass method
- Hydrodynamic Sader method
- Thermal noise calibration

Conclusion

Euler-Bernoulli model for a rectangular cantilever



- long beam: $L \gg T, H$
- small deformations : $L \ll \Delta z = z(L)$
- constant Young modulus E
- constant rectangular section

Flexion only: $z(x, t)$

$$\frac{m_c}{L} \frac{\partial^2 z}{\partial t^2} + E \frac{WH^3}{12} \frac{\partial^4 z}{\partial x^4} = f_{ext}(x)$$

- constant linear mass

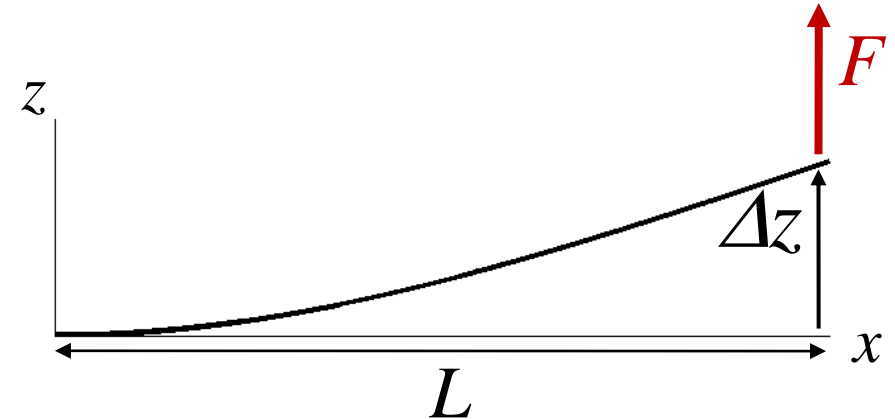
where m_c is the cantilever mass

external force / unit length

Static deformations in Euler-Bernoulli model

Point F force at the end of the cantilever

$$E \frac{WH^3}{12} \frac{\partial^4 z}{\partial x^4} = f_{ext}(x) = 0$$



with boundary conditions

➤ at $x = 0$: fixed position and angle

$$z(x=0) = \frac{\partial z}{\partial x} \Big|_{x=0} = 0$$

➤ at $x = L$: point force F + no torque

$$\frac{\partial^3 z}{\partial x^3} \Big|_L = -\frac{12}{EWH^3} F; \quad \frac{\partial^2 z}{\partial x^2} \Big|_L = 0$$

$$z(x) = \frac{(6Lx^2 - 2x^3)}{EWH^3} F$$

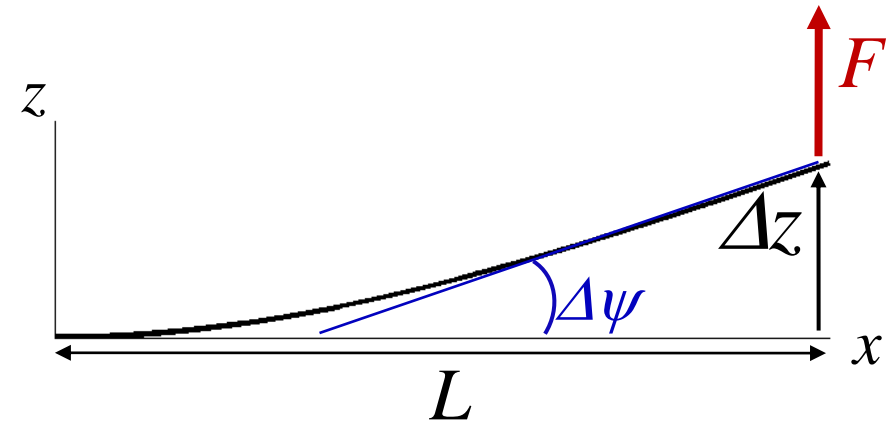
$$\Delta z = \frac{4L^3}{EWH^3} F$$

$$1/k_0$$

Static deformations in Euler-Bernoulli model

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$$\Delta z = \frac{4L^3}{EWH^3} F$$

$1/k_0$

Angle at $x = L$ for optical lever detection

$$\Delta \psi = \frac{\partial z}{\partial x} \Big|_L$$

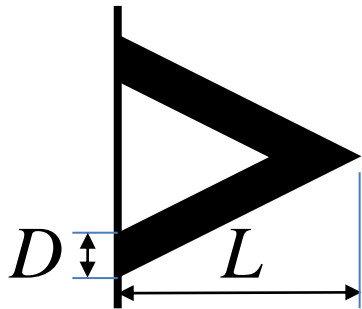
$$\frac{\Delta z}{\Delta \psi} = \frac{2L}{3} = cL$$

Theoretical stiffness from Euler-Bernoulli model

□ Rectangular cantilever

$$k_0 = \frac{EWH^3}{4L^3}$$

□ V-shaped cantilever



- no exact analytical expression
- approximate expression:

Sader, Rev. Sci. Instrum. 66, 4583 (1995)

$$k_0 = \frac{EDH^3}{2L^3} \times \text{geometrical correction}$$

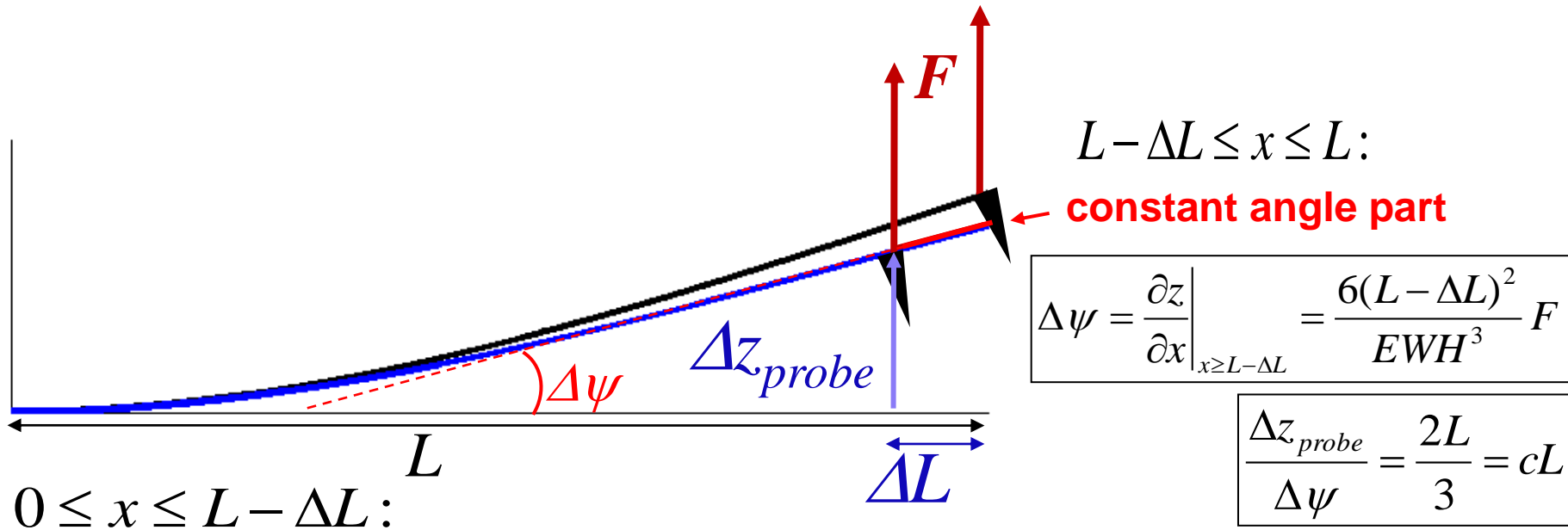
Parallel beams approximation

Expressions used by manufacturers for cantilever stiffness estimation

Main error sources: thickness H (to the power 3), and Young modulus E

Theoretical stiffness from Euler-Bernoulli model

Correction when point force acts ΔL away from end



As if beam length was $L - \Delta L$ instead of L

$$z(x) = \frac{(6(L - \Delta L)x^2 - 2x^3)}{EWH^3} F$$

$$\Delta z_{probe} = z(L - \Delta L) = \frac{4(L - \Delta L)^3}{EWH^3} F$$

$$1/k_{0\Delta L}$$

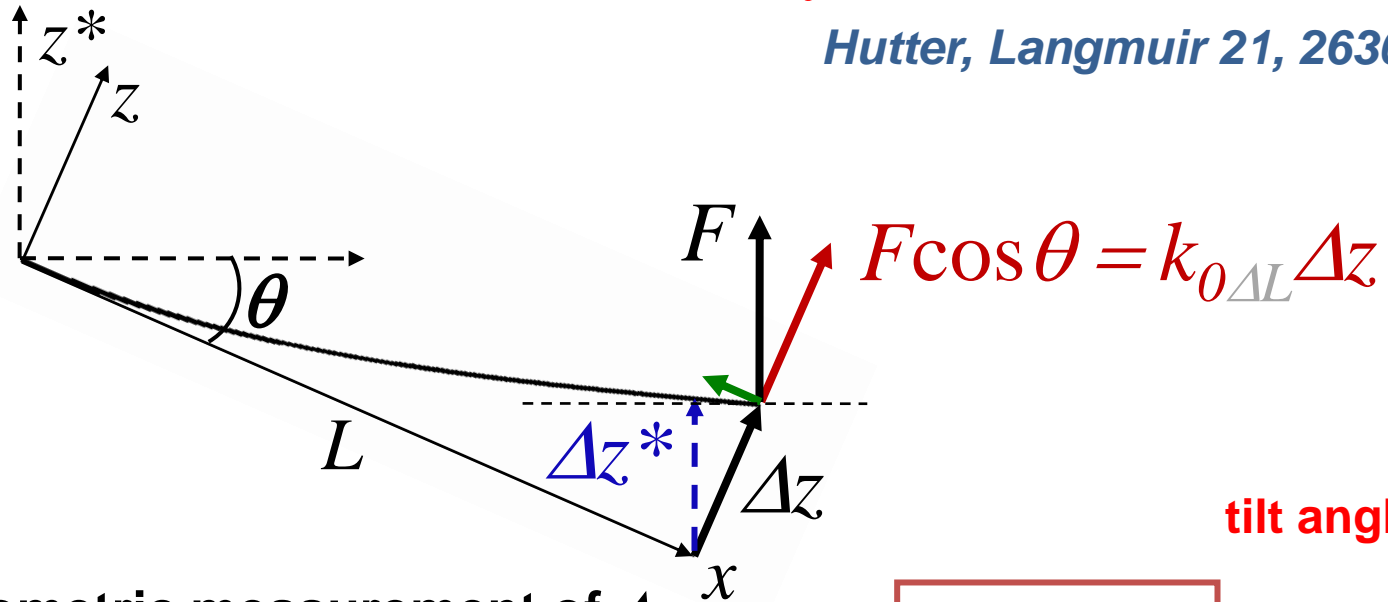
$$k_{0\Delta L} = k_0 \left(\frac{L}{L - \Delta L} \right)^3$$

$L = 300 \mu\text{m}$, $\Delta L = 10 \mu\text{m}$
→ 10% correction

Theoretical stiffness from Euler-Bernoulli model

Influence of tilt angle θ : from k_0 to k and k^*

Hutter, Langmuir 21, 2630 (2005)



tilt angle $\theta = 11$

- Interferometric measurement of Δz

$$F = k \Delta z$$

$$k = \frac{k_{0\Delta L}}{\cos \theta}$$

2% correction

- Optical lever with 4-quadrant photodiodes

$$F = k^* s \Delta V$$

$$k^* = \frac{k}{\cos \theta} = \frac{k_{0\Delta L}}{\cos^2 \theta}$$

4% correction

$$s \Delta V = \Delta z^* = \Delta z \cos \theta$$

s calibrated from slope of ΔV versus Δz^* when pushing on hard surface

Theoretical stiffness from Euler-Bernoulli model

Influence of tilt angle θ : torque correction

Hutter, Langmuir 21, 2630 (2005); Edwards et al., J. Appl. Phys. 103, 064513 (2008)

Static deformations with boundary conditions

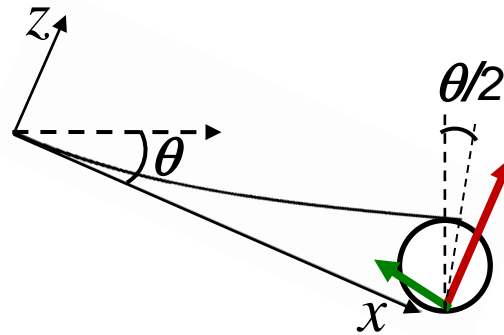
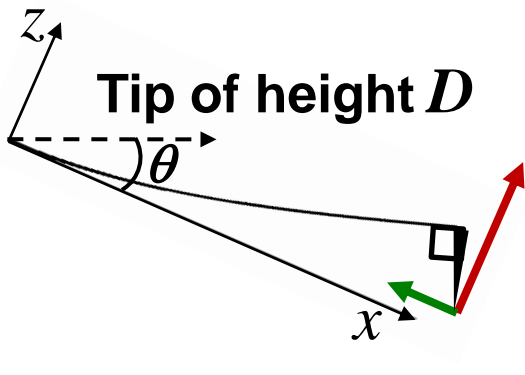
At $x=0$: clamped
$$z(x=0) = \left. \frac{\partial z}{\partial x} \right|_{x=0} = 0$$

At $x=L$: point force

$$\left. \frac{\partial^3 z}{\partial x^3} \right|_L = -\frac{3F \cos \theta}{k_0 L^3}$$

and point torque

$$\left. \frac{\partial^2 z}{\partial x^2} \right|_L = -\frac{3DF \sin \theta}{k_0 L^3}$$



$$z(x) = \frac{(3L(1 - \frac{D}{L} \tan \theta)x^2 - x^3)}{2k_0 L^3} F \cos \theta$$

$$F = \frac{k_0}{\cos \theta} \frac{1}{(1 - \frac{3D}{2L} \tan \theta)} \Delta z$$

$$\frac{\Delta z}{\Delta \psi} = \frac{1 - 3D \tan \theta / 2L}{1 - 2D \tan \theta / L} \frac{2L}{3} = cL$$

Torque correction

$L = 300 \mu\text{m}$, $D = 10 \mu\text{m}$, tilt angle $\theta = 11$

→ 1% correction on k

→ 0.3% correction on $\Delta \varphi / \Delta z$

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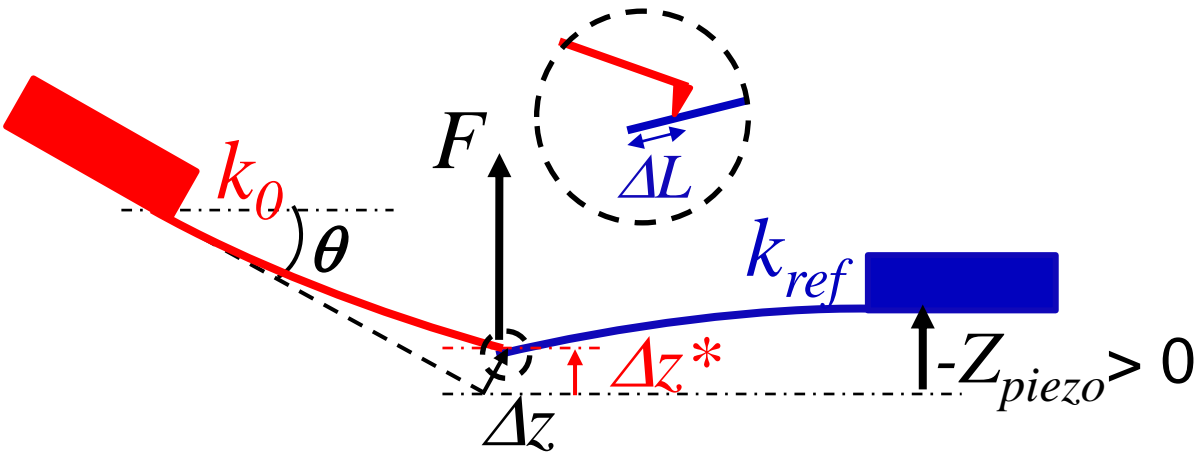
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Calibration using static deformations: Cantilever against reference cantilever method



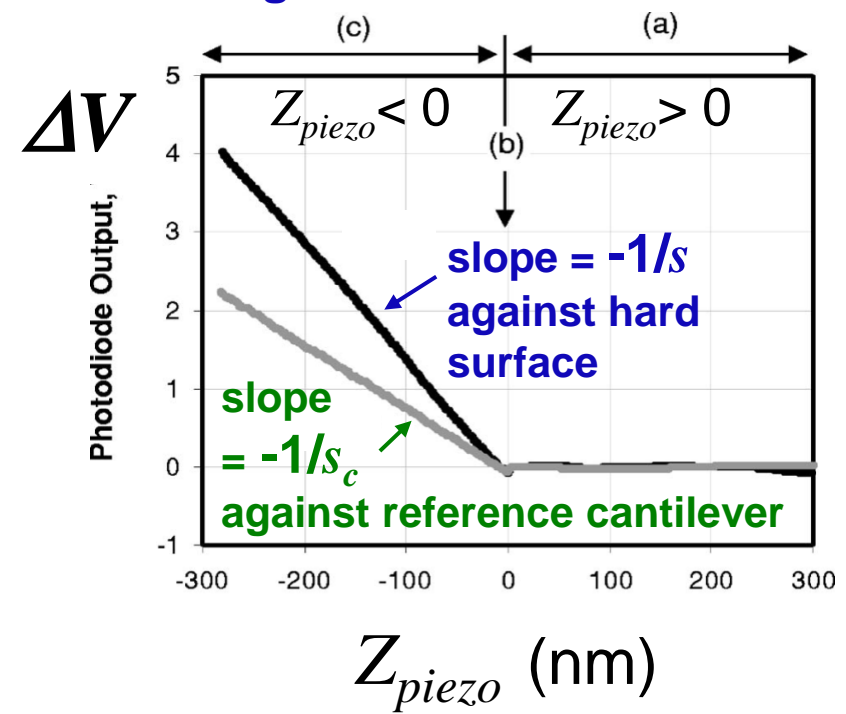
$$\Delta z^* = \Delta z \cos \theta = s \Delta V$$

Calibration optical lever
against hard surface

$$F = k_{ref} \left(\frac{L}{L - \Delta L} \right)^3 (-Z_{piezo} - \Delta z^*) = k \Delta z = k^* \Delta z^*$$

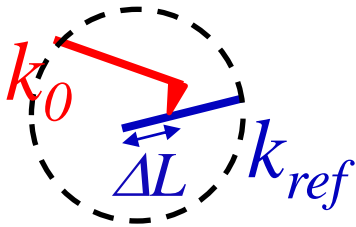
$$k = k_{ref} \left(\frac{L}{L - \Delta L} \right)^3 \left(\frac{-Z_{piezo}}{\Delta z} - \cos \theta \right)$$

$$k^* = k_{ref} \left(\frac{L}{L - \Delta L} \right)^3 \left(\frac{s_c}{s} - 1 \right)$$



Calibration using static deformations:

Cantilever against reference cantilever method



Advantages:

- works for all type of cantilevers and probes
- direct measure of k and k^* , ie stiffness of interest in experimental configuration

Drawbacks:

- requires reference cantilever with $k_{ref} \sim k_0$
- requires mechanical contact (risk of damaging the probe)
- sensitive to position ΔL of the probe on the reference cantilever

Main error sources: measurement of slope(s) (because of solid friction), and position ΔL

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Calibrations based on modes of oscillation:

Normal modes in Euler-Bernoulli model

$$\tilde{z}(x, \omega) = \phi(x)e^{i\omega t}$$

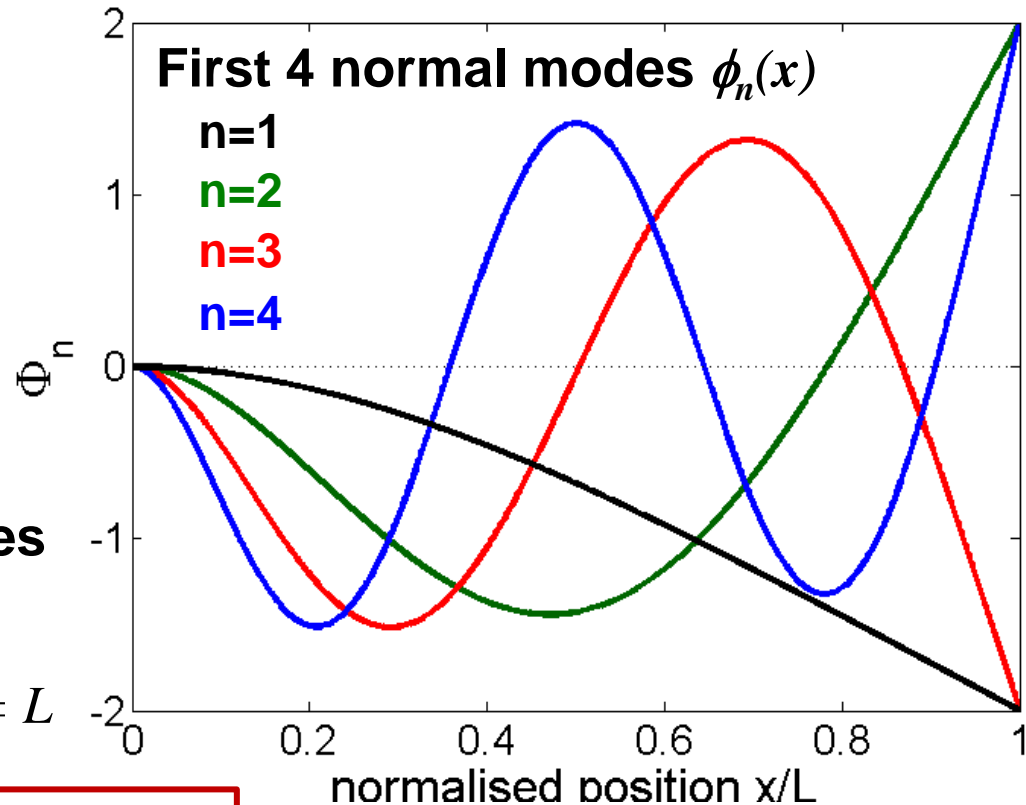
$$L^4 \frac{\partial^4 \phi}{\partial x^4} = \frac{3m_c \omega^2}{k_0} \phi(x)$$

α^4

Free cantilever: no external forces

Boundary conditions

➤ clamped at $x = 0$; free end at $x = L$



α must obey : $\cos(\alpha) + \cosh(\alpha) = -1$

Roots α_n ($\alpha_1=1.875$; $\alpha_2=4.694\dots$)

Normal spatial modes $\phi_n(x, \alpha_n)$ form a basis set of functions in $[0, L]$

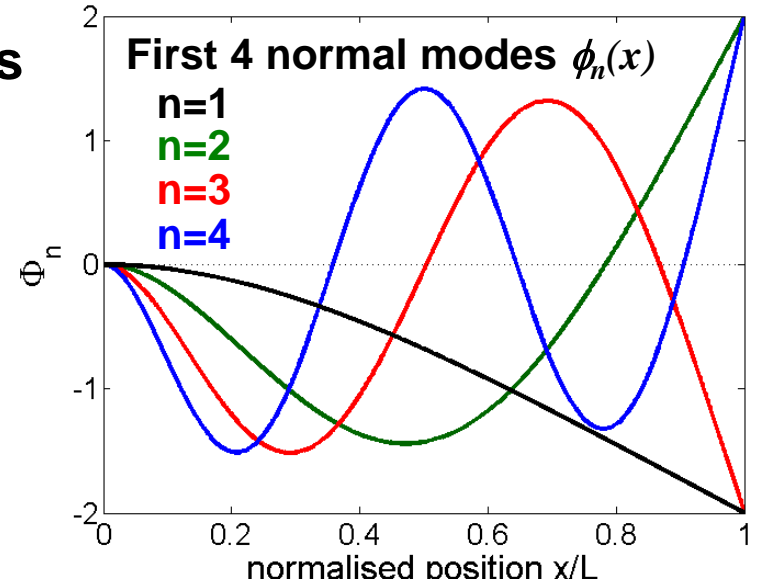
Calibrations based on modes of oscillation: : From normal modes to simple harmonic oscillators

Decomposition on basis of normal modes

$$\tilde{z}(x, \omega) = \sum_n \tilde{Z}_n(\omega) \phi_n(x)$$

$$\tilde{f}_{ext}(x, \omega) = \frac{1}{L} \sum_n \tilde{\beta}_{ext,n}(\omega) \phi_n(x)$$

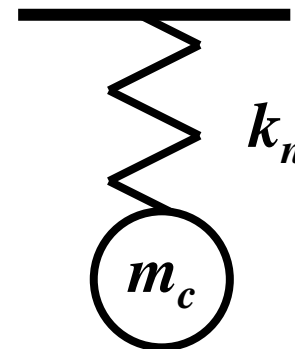
$$\left(\frac{\alpha_n^4 k_0}{3} - \omega^2 m_c \right) \tilde{Z}_n(\omega) = \tilde{\beta}_{ext,n}(\omega)$$



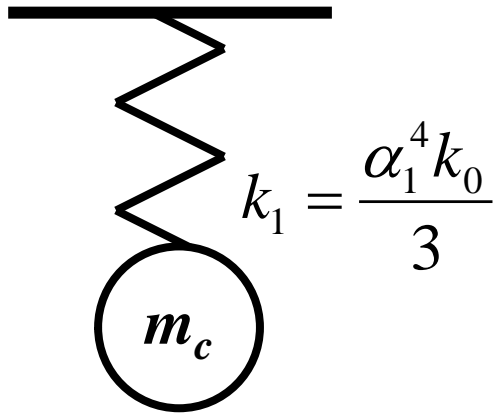
Each mode of oscillation behaves as a simple harmonic oscillator (SHO) with

$$\text{stiffness } k_n = \frac{\alpha_n^4 k_0}{3}$$

mass m_c



Calibrations based on modes of oscillation: Simple harmonic oscillator (SHO) method



- Measure **angular frequency** ω_1 of 1st resonance

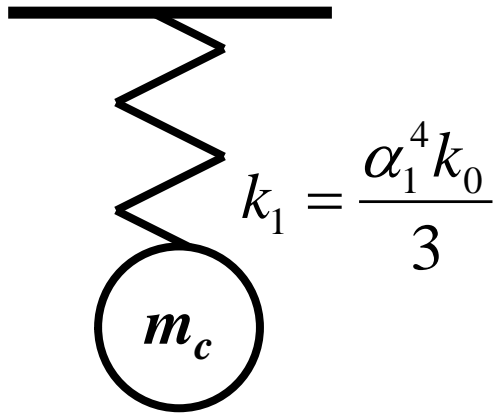
For a rectangular cantilever, in vacuum:

$$\omega_1 = \sqrt{\frac{\alpha_1^4 k_0}{3m_c}}$$

$$k_0 = \frac{3m_c}{\alpha_1^4} \omega_1^2$$

Calibrations based on modes of oscillation:

Simple harmonic oscillator (SHO) method



- Measure **angular frequency** ω_1 of 1st resonance

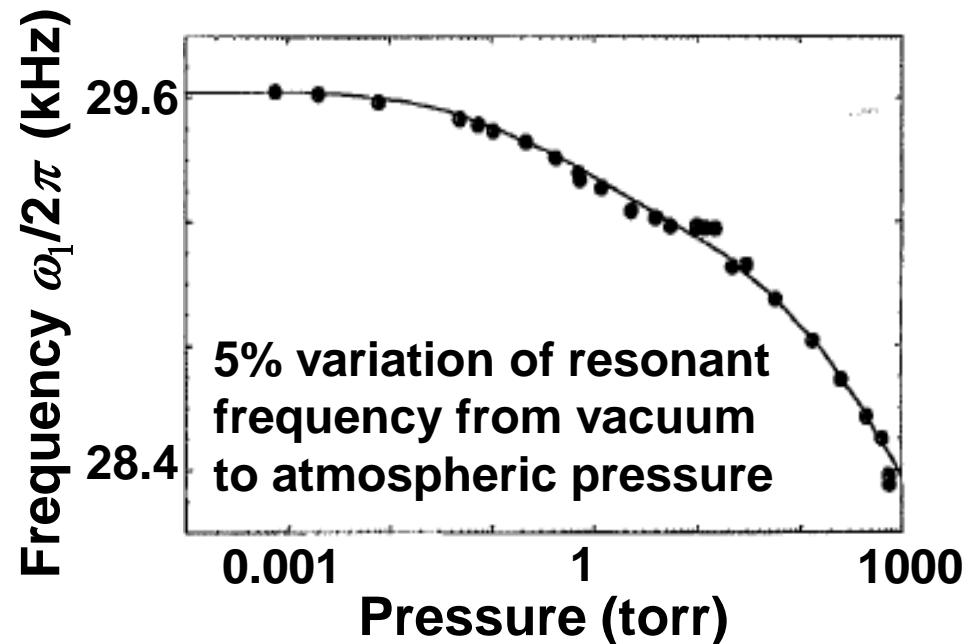
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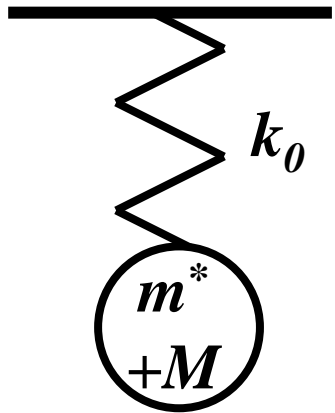
$$k_0 = \frac{3m_c}{\alpha_1^4} \omega_1^2$$

Main error sources:

- mass m_c
- sensitivity of resonant frequency ω_1 to fluid loading



Calibrations based on modes of oscillation: Cleveland's added mass method



- Attach **spheres of mass M at the end** of the cantilever
- Measure **angular frequency ω_1 of 1st resonance**

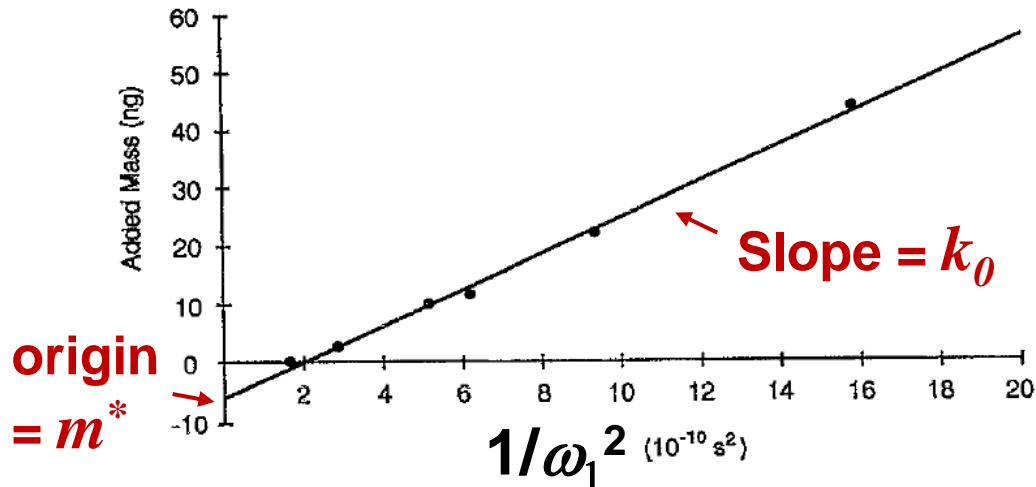
$$\omega_1 = \sqrt{\frac{k_0}{m^* + M}}$$

For a rectangular cantilever:

$$m^* = \frac{3m_c}{\alpha_1^4} = 0.24m_c$$

- **Plot M as a function of $1/\omega_1^2$**

Added mass M

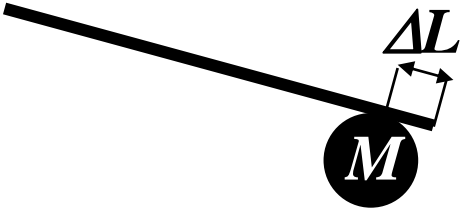


Calibrations based on modes of oscillation:

Cleveland's added mass method

Cleveland et al., Rev. Sci. Instrum. 64, 403 (1993)

Evaluated in: Sader et al., Rev. Sci. Instrum. 66, 3789 (1995)



$$\omega_1 = \sqrt{\frac{k_{0\Delta L}}{m^* + M}}$$

Measures $k_{0\Delta L}$
rather than k_0

- Plot $M \left(\frac{L - \Delta L}{L} \right)^3$
versus $1/\omega_1^2$
to get k_0 slope

Advantages:

- works for all type of cantilevers and probes
- independant of type and calibration of detection
- insensitive to fluid-loading effects when $M > 10 m_c$

Drawbacks:

- requires micromanipulations
- post-mortem due to risk of damaging the probe
- sensitive to position ΔL of the added mass

Main error sources: mass M , and position ΔL

Calibrations based on modes of oscillation:

Sader model for hydrodynamic load

When a cantilever is immersed in a fluid (viscosity η_f and density ρ_f)

- broadening of resonance due to **viscous damping**
- diminution of resonant frequency due to **added mass** by the fluid

Calibrations based on modes of oscillation:

Sader model for hydrodynamic load

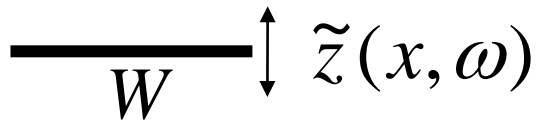
When a cantilever is immersed in a fluid (viscosity η_f and density ρ_f)

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Hydrodynamic load

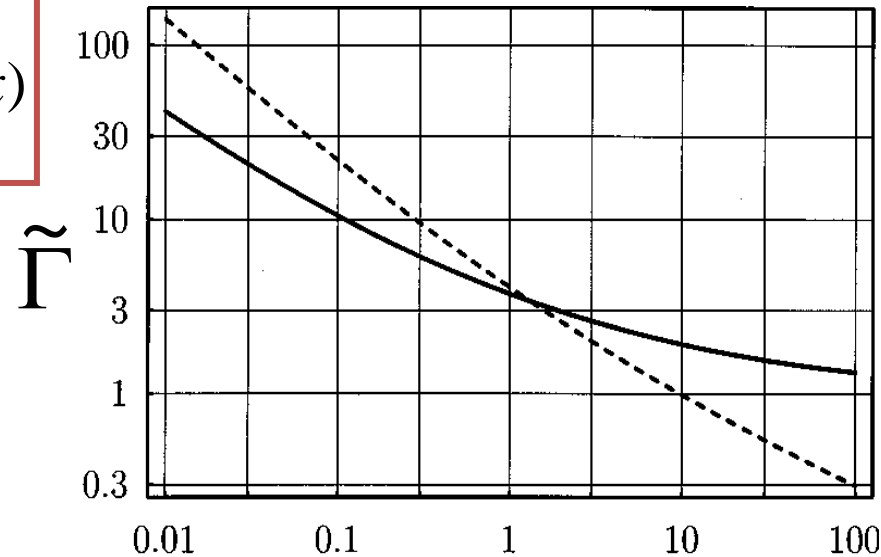
$$\tilde{f}_{hydro}(x, \omega) = \frac{\pi}{4} \rho_f \omega^2 W^2 \tilde{\Gamma} \left(\frac{\rho_f W^2 \omega}{4\eta_f} \right) \tilde{z}(\omega, x)$$

Hydrodynamic function $\tilde{\Gamma}$ computed for low-order modes of oscillation of rectangular cantilever with $T \ll W \ll L$



Sader, J. Appl. Phys 84, 64 (1998)

Sader et al., J. Appl. Phys 97, 124903 (2005)



$$\text{Reynolds number} = \frac{\rho_f W^2 \omega}{4\eta_f}$$

Calibrations based on modes of oscillation: Sader model for hydrodynamic load

Decomposition on basis of normal modes of oscillation

$$\left(\underbrace{k_n - \omega^2 m_c (1 + \tilde{\tau}_r(\omega))}_{m_{eff}(\omega)} - i\omega \underbrace{(m_c \omega \tilde{\tau}_i(\omega))}_{\gamma_{eff}(\omega)} \right) \tilde{Z}_n(\omega) = \tilde{\beta}_{ext,n}(\omega)$$

$$\text{with } \tilde{\tau}_r(\omega) + i\tilde{\tau}_i(\omega) = \frac{\pi}{4} \frac{\rho_f L W^2}{m_c} \tilde{\Gamma}\left(\frac{\rho_f \omega}{4\eta_f}\right)$$

Low damping limit (quality factor $Q \geq 10$):

$m_{eff}(\omega)$ and $\gamma_{eff}(\omega) \sim$ constant around resonance peak

➔ Each mode of oscillation behaves as a damped SHO with:

stiffness $k_n = \frac{\alpha_n^4 k_0}{3}$

mass $m_{eff,n} = m_c (1 + \tau_r(\omega_n))$

damping $\gamma_{eff,n} = m_c \omega_n \tau_i(\omega_n)$

$$\omega_n = \sqrt{\frac{k_n}{m_{eff,n}}}$$

$$Q_n = \frac{k_n}{\omega_n \gamma_{eff,n}} = \frac{1 + \tau_r(\omega_n)}{\tau_i(\omega_n)}$$

Calibrations based on modes of oscillation:

Hydrodynamic Sader method

Rectangular cantilever, low damping limit (quality factor $Q_1 \geq 10$):

$$k_0 = \underbrace{\frac{3\pi}{4\alpha_1^4}}_{0.1906} \omega_1^2 \rho_f L W^2 Q_1 \Gamma_i \left(\underbrace{\frac{\rho_f W^2 \omega_1}{4\eta_f}}_{\text{Imaginary part of the hydrodynamic function}} \right)$$

*Sader et al.,
Rev. Sci. Instrum. 70, 3967 (1999)*

- η_f and ρ_f tabulated
- L and W measured by optical microscopy
- ω_1 and Q_1 measured from damped SHO fit of 1st resonance peak

Calibrations based on modes of oscillation:

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- η_f and ρ_f tabulated
- L and W measured by optical microscopy
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Avantages:

- fast, precise, easy -- online calibration on:
<http://www.ampc.ms.unimelb.edu.au/afm/theory.html>
- independant of type and calibration of detection

Underestimates k_0 if presence of colloidal probe of diameter $> W/2$

Main error sources: width W , and quality factor Q_1

Calibrations based on modes of oscillation:

Equipartition of energy and thermal noise PSD

Small dissipation (high Q):

➔ Modes of oscillation Z_n are independent degrees of freedom

$$\frac{1}{2} k_n \langle Z_n^2 \rangle = \frac{1}{2} k_B T$$

Total thermal noise of the cantilever

$$\langle \Delta z^2 \rangle = \sum_n \langle Z_n^2 \rangle |\phi_n(x=L)|^2 = \frac{k_B T}{k_0} \sum_n \frac{12}{\alpha_n^4} = \frac{k_B T}{k_0}$$

$\xrightarrow{\quad 4 \qquad \qquad \qquad 1}$

Link with thermal noise PSD of the cantilever:

$$\langle \Delta z^2 \rangle = \int_0^\infty S_{thermal}^{\Delta z}(f) df = \int_0^\infty (S_{measured}^{\Delta z} - S_{background}^{\Delta z}) df$$

Calibrations based on modes of oscillation: Equipartition of energy and thermal noise PSD

For detection with optical lever: total thermal noise of ΔV

$$\langle \Delta V^2 \rangle = \left(cL \frac{\cos \theta}{s} \right)^2 \underbrace{\langle \Delta \psi^2 \rangle}_{\text{thermal noise of angular deflexion}} = \left(cL \frac{\cos \theta}{s} \right)^2 \sum_n \langle Z_n^2 \rangle \left| \frac{\partial \Phi_n}{\partial x} \right|_L^2 = 12c^2 \frac{\cos^2 \theta}{s^2} \frac{k_B T}{k_0} \sum_n \frac{1}{\alpha_n^2} \left(\frac{\sin \alpha_n \sinh \alpha_n}{\sin \alpha_n + \sinh \alpha_n} \right)^2$$

$c \approx 2/3$
 $1/4$

$$\langle \Delta V^2 \rangle \approx \frac{4}{3} \frac{\cos^2 \theta}{s^2} \frac{k_B T}{k_0}$$

Butt et al., Nanotechnol. 6, 1 (1995)

Hutter, Langmuir 21, 2630 (2005)

Link with thermal noise PSD of signal ΔV :

$$\langle \Delta V^2 \rangle = \int_0^\infty S_{thermal}^{\Delta V}(f) df = \int_0^\infty (S_{measured}^{\Delta V} - S_{background}^{\Delta V}) df$$

Calibrations based on modes of oscillation:

Thermal noise calibration

Hutter et al., Rev. Sci. Instrum. 64, 1868 (1993)

Idea:

measure k_0 from integration of thermal noise PSD

but in reality, **finite integration range:**

thermal noise PSD only available around resonance because of background noise

So no direct access to mean square amplitudes $\langle \Delta V^2 \rangle$ and $\langle \Delta z^2 \rangle$

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Contribution of mode n to total thermal noise ?

Fluctuation dissipation theorem on amplitude of normal mode n

$$\frac{\langle |\tilde{Z}_n(\omega)|^2 \rangle}{\Delta f} = -\frac{4k_B T}{\omega} \operatorname{Im} \left(\frac{\tilde{Z}_n(\omega)}{\tilde{\beta}_{ext,n}(\omega)} \right) = \frac{k_B T}{k_n} \frac{4 / \omega_n Q_n}{\left(1 - \left(\frac{\omega}{\omega_n} \right)^2 \right)^2 + \frac{1}{Q_n^2} \left(\frac{\omega}{\omega_n} \right)^2}$$

$$\omega_n = \sqrt{\frac{k_n}{m_{eff,n}}}$$

$$Q_n = \frac{k_n}{\omega_n \gamma_{eff,n}}$$

Calibrations based on modes of oscillation:

Thermal noise calibration

SHO fit of resonance peak in thermal noise PSD of free cantilever:

$$S_{thermal,n}^{\Delta z}(f) = \frac{\langle |\tilde{z}_n(\omega, L)|^2 \rangle}{\Delta f} = 4 \frac{\langle |\tilde{Z}_n(\omega)|^2 \rangle}{\Delta f} = \frac{12}{\alpha_n^4} \frac{k_B T}{k_0} \frac{4 / \omega_n Q_n}{\left(1 - \left(\frac{\omega}{\omega_n}\right)^2\right)^2 + \frac{1}{Q_n^2} \left(\frac{\omega}{\omega_n}\right)^2}$$

≈ 0.97
pour $n=1$

$$S_{thermal,n}^{\Delta V}(f) = \frac{12c^2}{\alpha_n^2} \left(\frac{\sin \alpha_n \sinh \alpha_n}{\sin \alpha_n + \sinh \alpha_n} \right)^2 \left(\frac{\cos \theta}{s} \right)^2 \frac{k_B T}{k_0} \frac{4 / \omega_n Q_n}{\left(1 - \left(\frac{\omega}{\omega_n}\right)^2\right)^2 + \frac{1}{Q_n^2} \left(\frac{\omega}{\omega_n}\right)^2}$$

≈ 0.817 pour $n=1$

$\int_0^\infty = 1$

➤ k_0 , ω_n and Q_n

- fast, precise, easy
- depends of calibration of detection

Butt et al.,
Nanotechnol. 6, 1 (1995)

Hutter, Langmuir 21, 2630 (2005)

Error sources: error on fit parameters
+ error on s if optical lever technique

Conclusion

Comparison of calibration methods for cantilever's bending stiffness k_0

	Theoretical	SHO	Added mass	Hydro Sader	Thermal noise	Cant. against reference cant.
requires	L, W, H, E	m_c ω_1	$M, \Delta L$ ω_1	η_f, ρ_f $L, W,$ ω_1, Q_1	T , thermal noise PSD + s, θ for optical lever	$k_{ref}, \Delta L, \theta$ + slopes $\Delta signal / Z_{piezo}$ in contact
Main error sources	H, E	m_c ω_1 in fluid	$M, \Delta L$	W, Q_1	SHO fit, s	$k_{ref}, \Delta L$ + slopes $\Delta signal / Z_{piezo}$ in contact
Typical uncertainty	30-50 % can be reduced by MEB pictures to measure H	20-40 %	10-30 %	5-10 %	5-10 %	10-30 %

Conclusion: to go further...

- Other calibration methods for cantilever's bending stiffness k_0 and variants...

For example:

- *mapping of spatial modes along the cantilever from thermal noise*

Paolino et al., J. Appl. Phys. 106, 094313 (2009) and HDR of L. Bellon

- *calibration using electrostatic forces on metal-coated colloidal probes*

Chung et al., Rev. Sci. Instrum. 80, 065107 (2009)

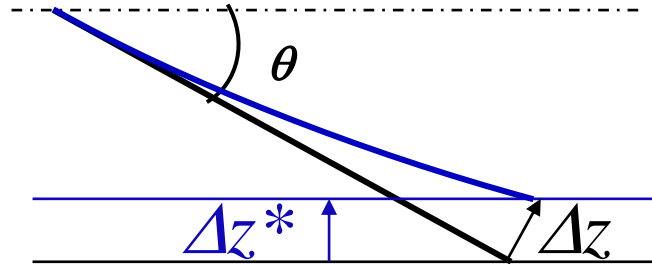
- Influence of the position of the laser beam on the cantilever on the effective stiffness k and k^* : mode dependent correction factor

In this course, laser beam assumed focused on point of application of the force

- Calibration of torsional spring constant for lateral force measurement

For example: Green et al., Rev. Sci. Instrum. 75, 1988 (2004)

$$\Delta z^* = \Delta z \cos \theta = s \Delta V$$



$$\left. \frac{\partial z}{\partial x} \right|_L = \frac{3}{2L} \Delta z$$

$$\left| \frac{\partial \Phi_n}{\partial x} \right|_L^2 = \frac{4\alpha_n^2}{L^2} \left(\frac{\sin \alpha_n \sinh \alpha_n}{\sin \alpha_n + \sinh \alpha_n} \right)^2$$